



Attorney Docket: 2091/49088CP2  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WILIFRIED ANDREAS MAESTLE  
Serial No.: 09/966,114 Group Art Unit: 2161  
Filed: October 1, 2001 Confirmation No. 7440  
Title: MACHINE-IMPLEMENTABLE-PROJECT FINANCE  
ANALYSIS AND NEGOTIATING TOOL, SOFTWARE  
AND SYSTEM

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION  
PAPERS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers  
mailed on or about March 22, 2002:

**IN THE SPECIFICATION:**

Amend the specification as follows:

Page 1, paragraph following "COMPUTER PROGRAM LISTING  
APPENDIX" delete and substitute the following:

--I attach, as a supplement to the first Microfiche Appendix of the  
Parent Application, duplicate compact disks which are 1x-24x compatible  
CR-Recordable 80 min/700 MB discs containing the following:

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Name of file	Size - bytes	created	File type	Readable with
Coverpage and Table of Contents.txt	2,282	July 30,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeLoans2-17.txt	17,592	July 31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeCloseFinGap18-35.txt	23,790	July 31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeIterat37.txt	3,560	July 31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeBond38-140.txt	140,056	July 31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeUseSurplusCash141-160.txt	26,149	July 31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor
CodeIAC161-182.txt	23,117	July31,2002	ASCII text only	Microsoft Windows Notepad or any Wordprocessor

## Content of folder

Name of file	Size bytes	Created	File Type	Readable	Content on Duplicate Disc 1 in file
Coverpage and Table of Contents.doc	24,576	Sept. 27,2001	Microsoft Word Document	Word (Office 2000)	Coverpage and Table of Contents

					.txt
CodeLoans	116,736	Sept. 13,2001	Microsoft Word Document	Word (Office 2000)	CodeLoans2-17.txt
CodeCloseFinGap.doc	88,576	Sept.05,2001	Microsoft Word Document	Word (Office 2000)	CodeCloseFinGap18-35.txt
CodeIterat.doc	26,112	Sept.05.2001	Microsoft Word Document	Word (Office 2000)	CodeIterat37.txt
CodeBond	510,464	Sept. 24, 2001	Microsoft Word Document	Word (Office 2000)	CodeBond38-140.txt
CodeUseSurplusCash.doc	111,616	Sept. 24, 2001	Microsoft Word Document	Word (Office 2000)	CodeUseSurplusCash141-160.txt
CodeIAC.doc	124,416	Sept, 5	Microsoft Word Document	Word (Office 2000)	CodeIAC161-182.txt

### REMARKS

Responsive to the Notice to File Corrected Application Papers, Applicant attaches a duplicate set of compact discs with only ASCII files with covering documents showing the content of the duplicate discs, the machine format, D/S compatibility, a list of the files and their names, the byte size and the date of creation.

Applicant would note that in providing duplicate disks containing only the ASCII files, certain minor features contained on the original CD-ROM are not included such as colors and page numbers. Please note that certain flowcharts have been transcribed into code. Also, the flowcharts and Appendix found in the original CD-ROM cannot be converted to ASCII files. These flowcharts are included to the extent necessary, in the attached microfiche so as to be placed in the record of this case. Applicant submits that the flowcharts are additive and not necessary for one skilled in this art to make and use his invention. No new matter has been added.

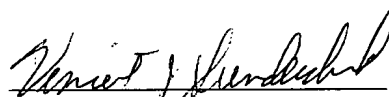
Accordingly, applicant submits that all formalities have been addressed in full and in good faith.

If there are any questions regarding this Response or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket#2091/49088CP2).

Respectfully submitted,

Date: August 22, 2002



James F. McKeown

Registration No. 25,406

Vincent J. Sunderdick

Registration No. 29,004

CROWELL & MORING, LLP  
P.O.BOX 14300  
Washington, DC 20044-4300  
Telephone No.: (202) 624-2500  
Facsimile No. (202) 628-8844  
JFM:VJS:leb  
(CAM 38659.003)



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UNITED STATES PATENT

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,114	10/01/2001	Wilfried A. Maestle	2091/49088CP2

CONFIRMATION NO. 7440

FORMALITIES LETTER



\*OC000000007701864\*

Crowell & Moring, L.L.P.  
P.O. Box 14300  
Washington, DC 20044-4300

Date Mailed: 03/22/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

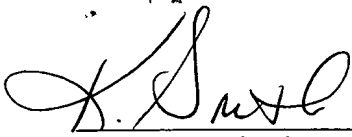
- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.
- This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

*A copy of this notice **MUST** be returned with the reply.*

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A handwritten signature in black ink, appearing to read "K. Smith", is positioned above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202